

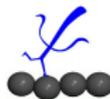


# Adsorption, Clustering and Reaction of Hydrogen Atoms On Graphene

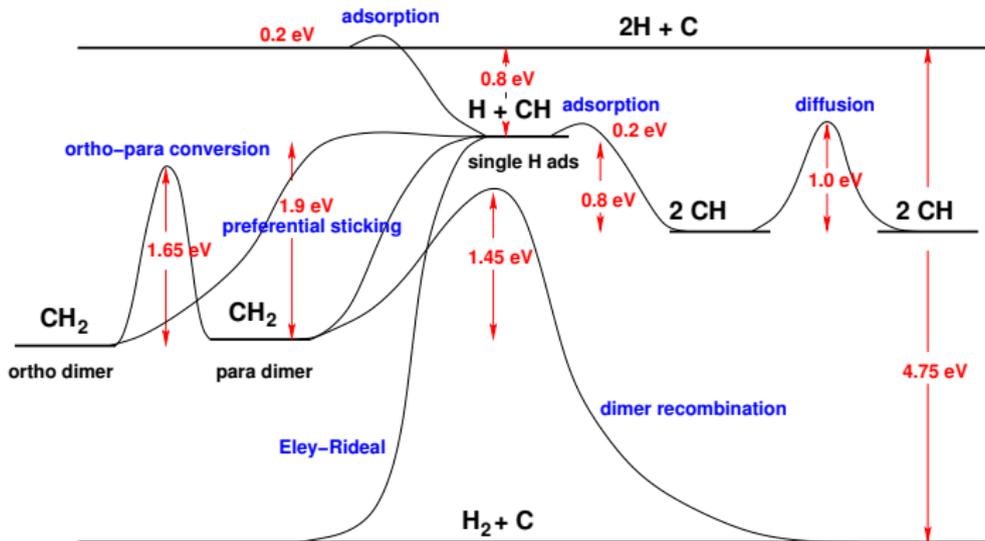
Rocco Martinazzo

Dipartimento di Chimica  
Università degli Studi, Milano, Italy

*16th Workshop on Dynamical Phenomena at Surfaces*  
Madrid, October 29-31, 2014

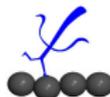


# Chemisorption and reaction



R. Martinazzo, S. Casolo and L. Horneaker

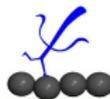
in *Dynamics of Gas-Surface Interactions*, Ed.s R. D. Muino and H. F. Busnengo, Springer (2013)





# Outline

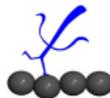
- 1 Adsorption energetics
  - Dimer and cluster formation
  - H on Graphene/Ir(111)
- 2 Eley-Rideal reaction dynamics
  - Reduced-dimensional quantum dynamics
  - *Ab initio* molecular dynamics
- 3 Sticking dynamics
  - System-bath modeling
  - High-Dimensional Quantum dynamics

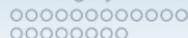




# Outline

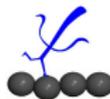
- 1 Adsorption energetics
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# Outline

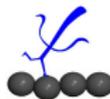
- 1 Adsorption energetics
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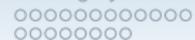




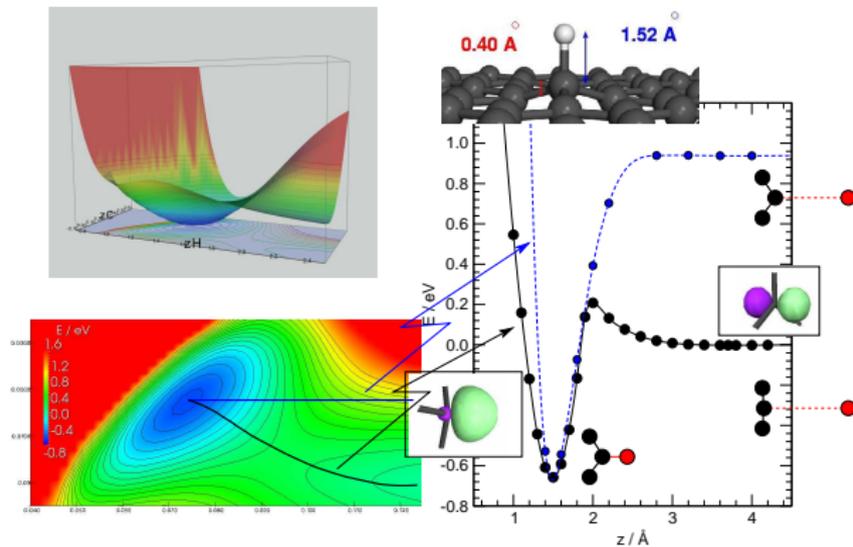
# Outline

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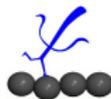


# Chemisorption of a H atom



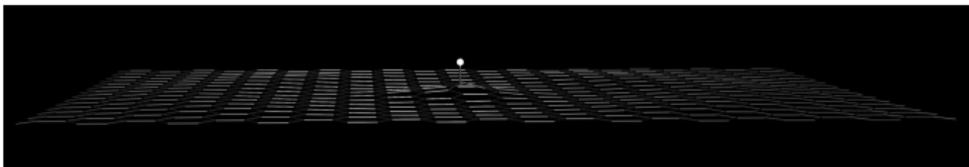
L. Jelojica and V. Sidis, *Chem. Phys. Lett.* **300**, 157 (1999)

X. Sha and B. Jackson, *Surf. Sci.* **496**, 318 (2002)

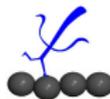
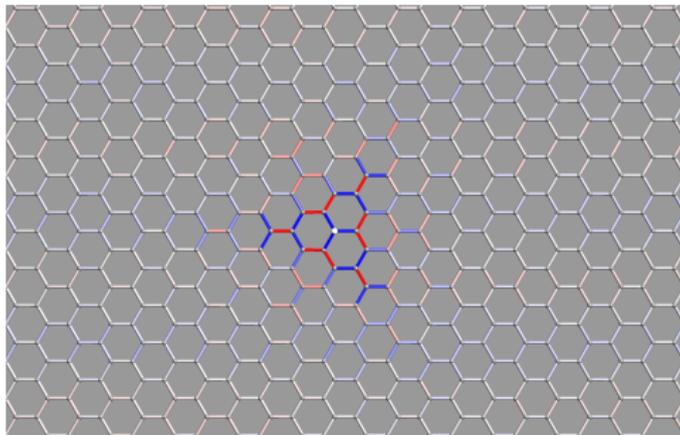




# Chemisorption of a H atom

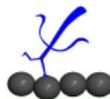
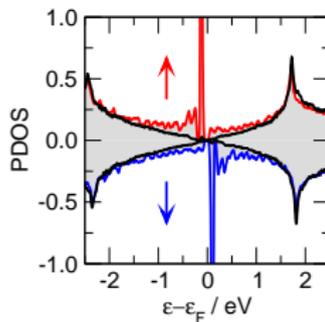
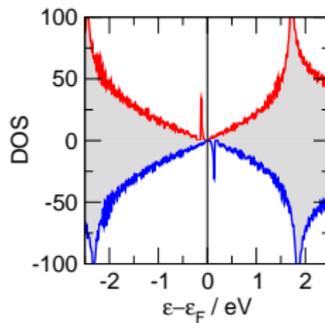
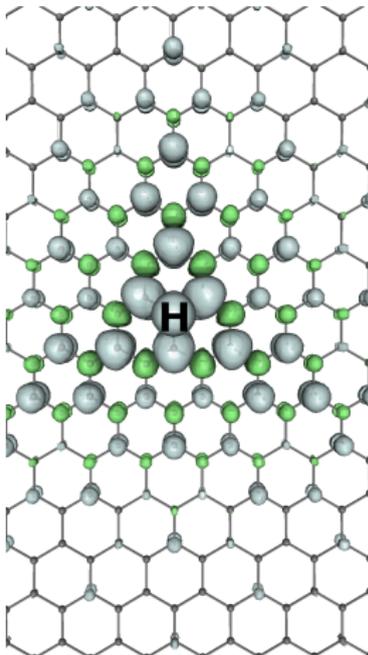


0.5% shorter -  $d_{CC}^0$  - 0.5% longer

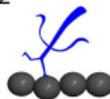
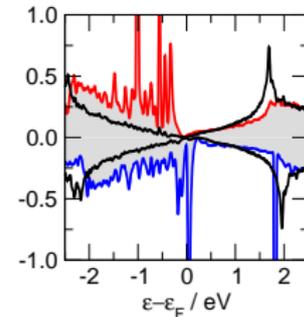
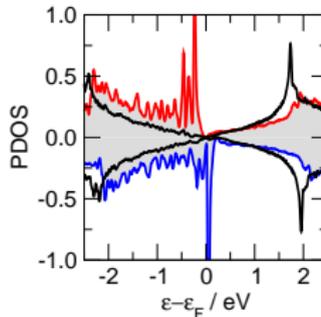
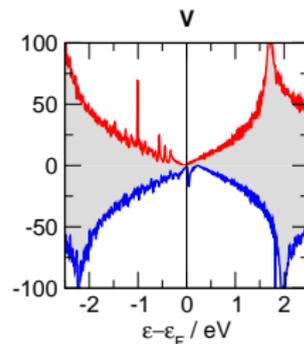
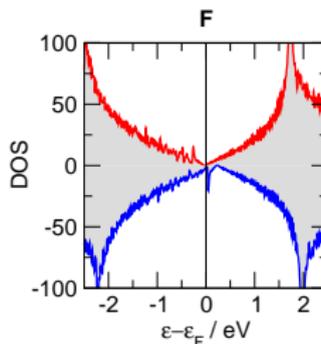
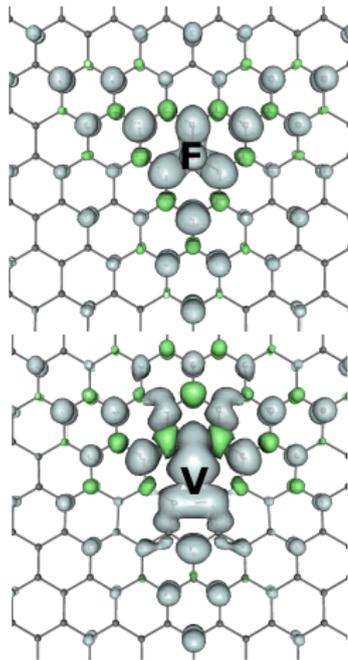




# Midgap states

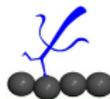
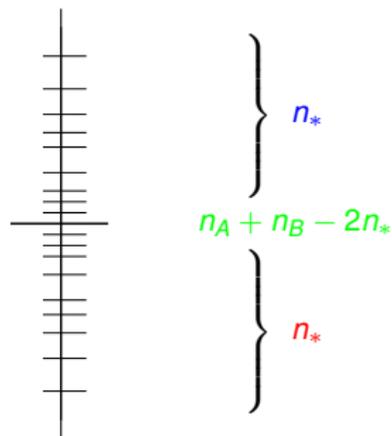
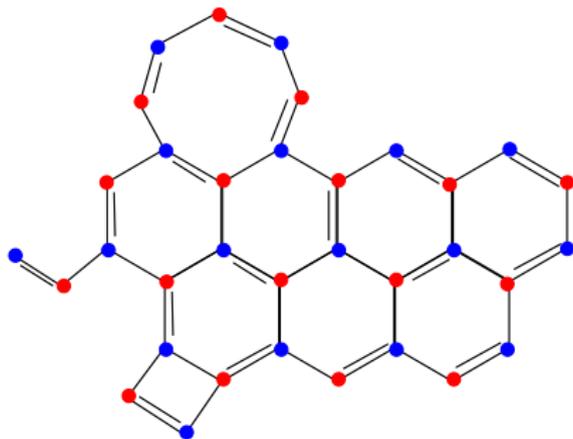


# Midgap states



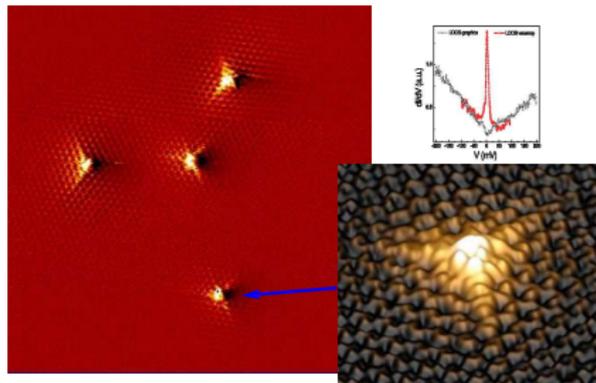
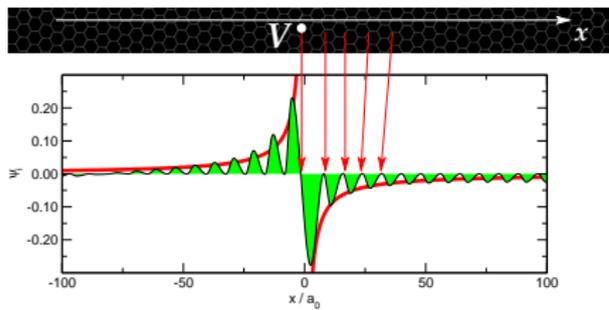
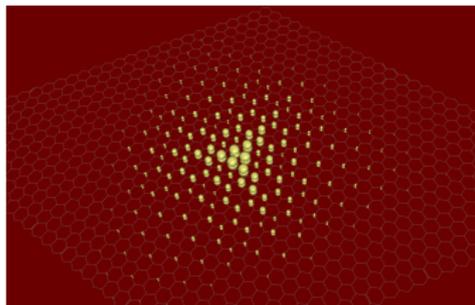
# Midgap states: $p_z$ vacancies

$$H^\pi \approx \sum_{\tau, ij} (t_{ij} a_{i,\tau}^\dagger b_{j,\tau} + t_{ji} b_{j,\tau}^\dagger a_{i,\tau}) + U \sum_i n_{i,\tau} n_{i,-\tau}$$





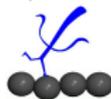
# Midgap states: $p_z$ vacancies



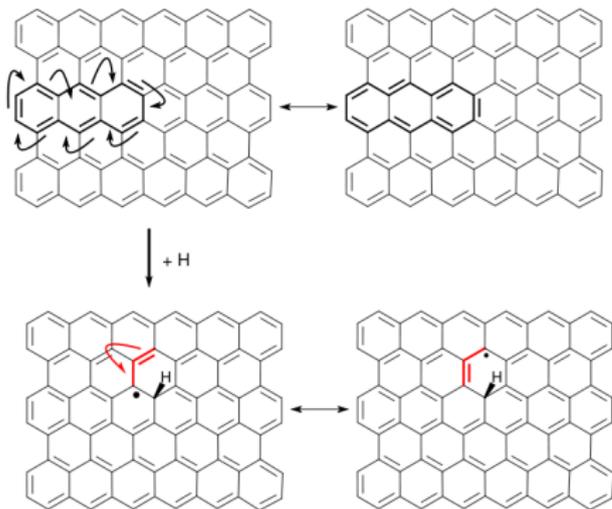
M.M. Ugeda *et al.*, *Phys. Rev. Lett.* **104**, 096804 (2010)

$$\psi(x, y, z) \sim 1/r$$

V. M. Pereira *et al.*, *Phys. Rev. Lett.* **96**, 036801 (2006);  
*Phys. Rev. B* **77**, 115109 (2008)

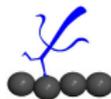


## Midgap states: $p_z$ vacancies



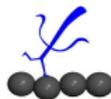
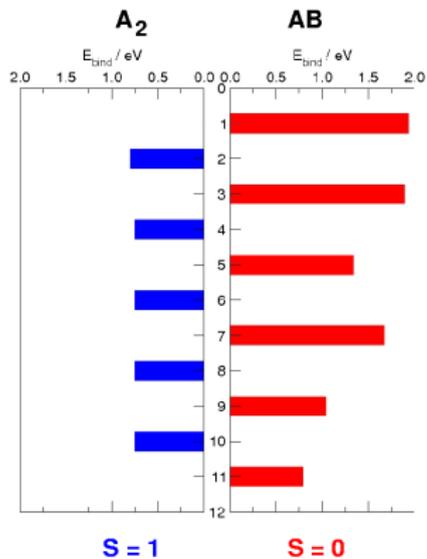
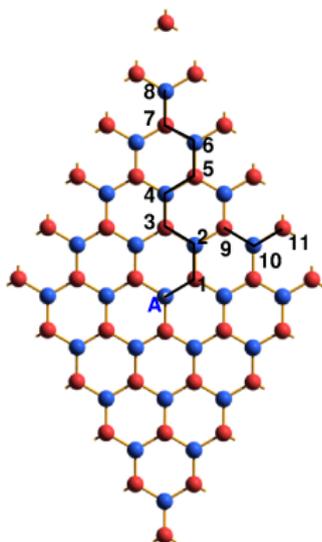
Resonating Valence Bond

- **Transport:** resonant scatterers explain behavior of  $\sigma_{DC}$  at zero and finite electron densities
- **Magnetism:** local magnetic moments responsible for the observed paramagnetic response
- **Chemistry:** preferential sticking leading to dimer and cluster formation



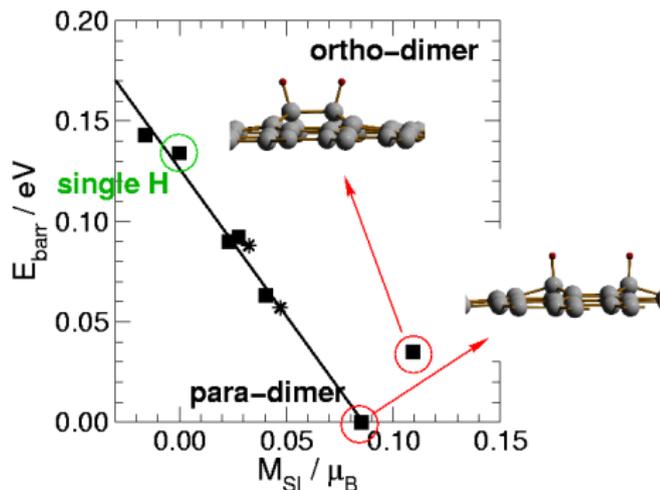
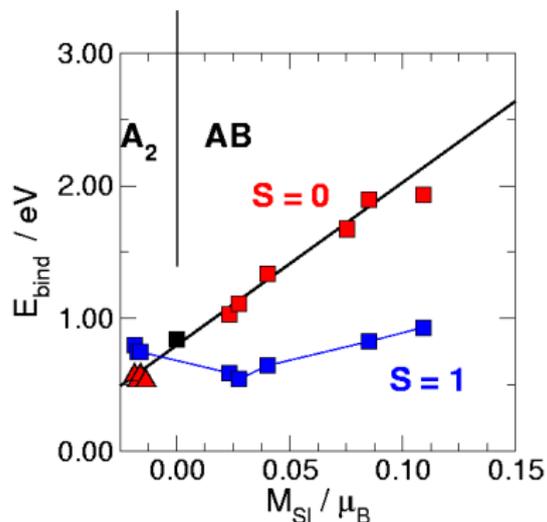


# Dimers



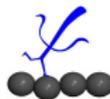


# Dimers



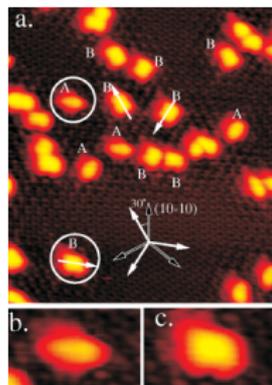
S. Casolo, O.M. Lovvik, R. Martinazzo and G.F. Tantardini, *J. Chem. Phys.* **130** 054704 (2009)

Preferential sticking: L. Hornekaer *et al.*, *Phys. Rev. Lett.* **96** 156104 (2006)

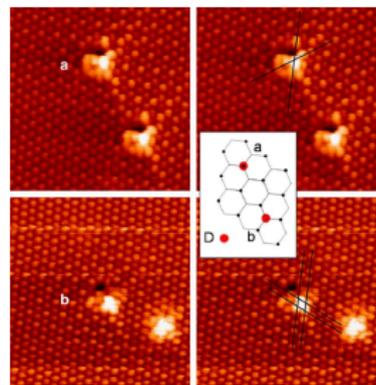
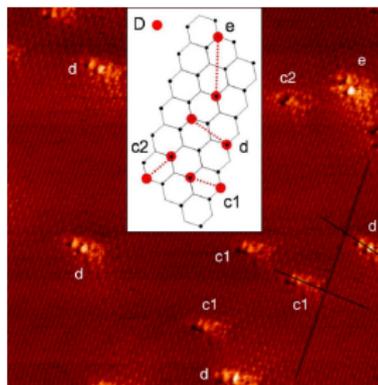




# Dimers



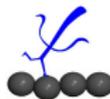
[1]

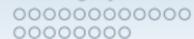


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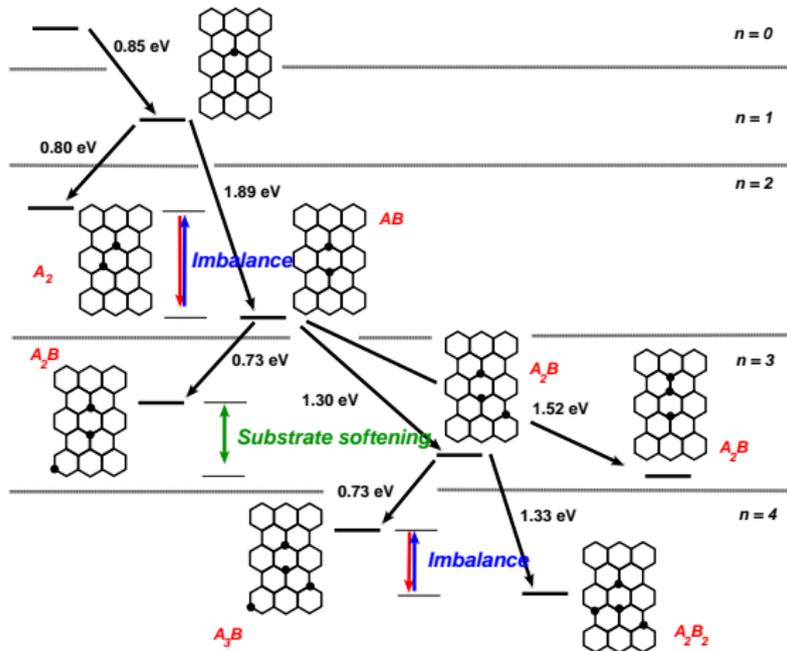
[1] L. Hornekaer, Z. Slijvančanin, W. Xu, R. Otero, E. Rauls, I. Stensgaard, E. Laegsgaard, B. Hammer and F. Besenbacher. *Phys. Rev. Lett.* **96** 156104 (2006)

[2] A. Andree, M. Le Lay, T. Zecho and J. Kupper, *Chem. Phys. Lett.* **425** 99 (2006)



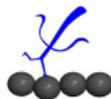
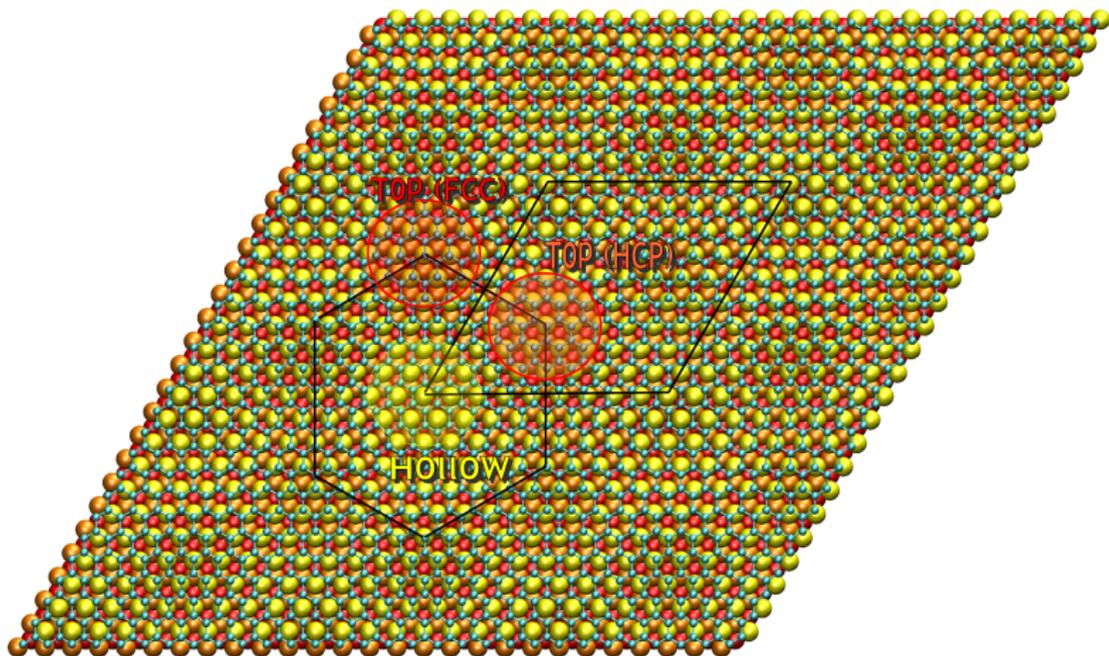


# Clusters



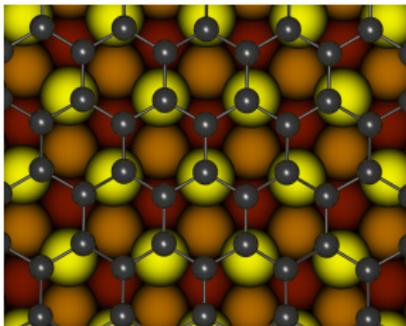


# Ir(111)/graphene Moiré structure

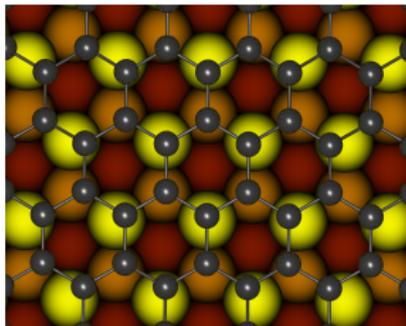


# Ir(111)/graphene Moiré structure

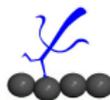
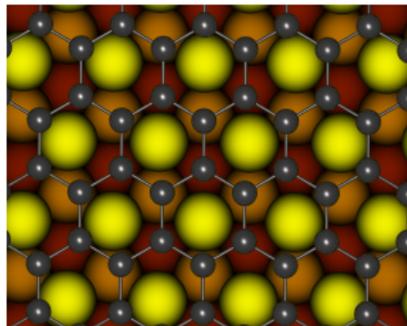
## Top-Fcc (or "Hcp")



## Top-Hcp (or "Fcc")

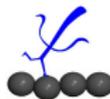
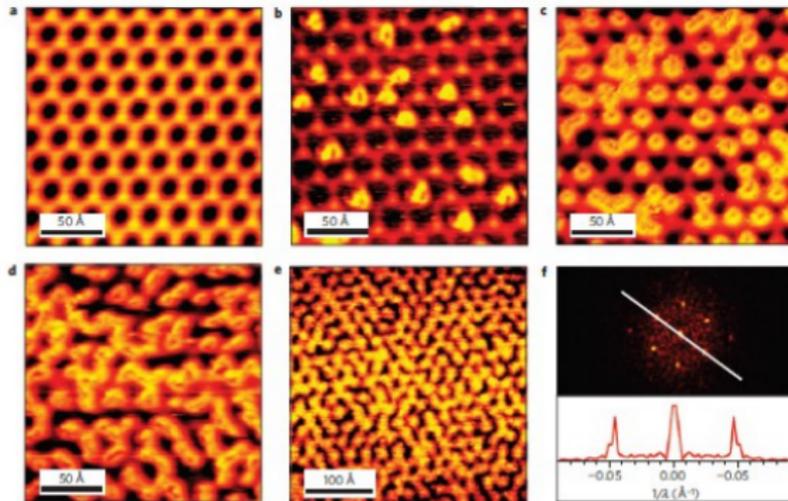


## Hollow (or "Atop")



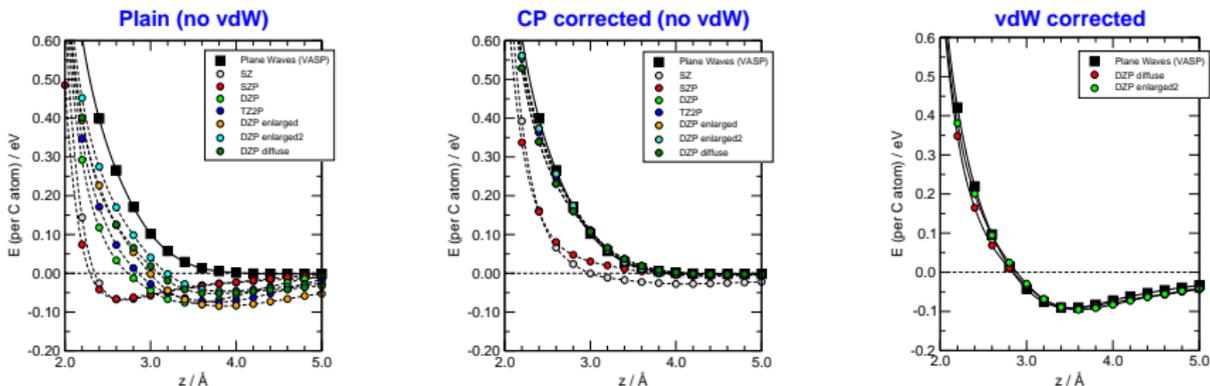


# Patterned H adsorption



# Technicalities

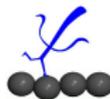
Too large cell for PW calculations..  $\Rightarrow$  AOs (**SIESTA**)



**1x1 Top-Fcc** (similarly for **Top-Hcp** and **Hollow** )

$\Rightarrow$  Standard Double  $\zeta$  *plus* Polarization *plus* Diffuse *plus* vdW

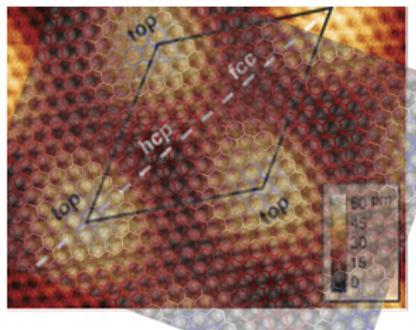
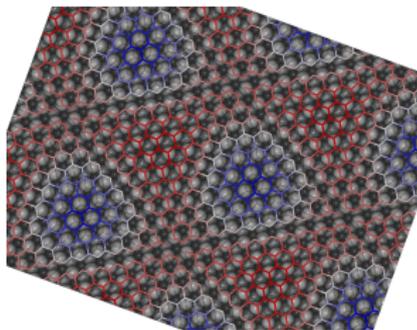
$\Rightarrow$  **Five**  $9 \times 9$  Ir layers +  $10 \times 10$  graphene  $\Rightarrow$  **605** atoms





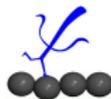
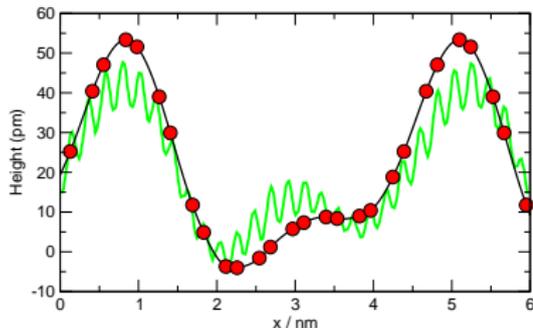


# Ir(111)/Graphene



## CO-terminated AFM tip

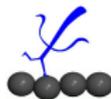
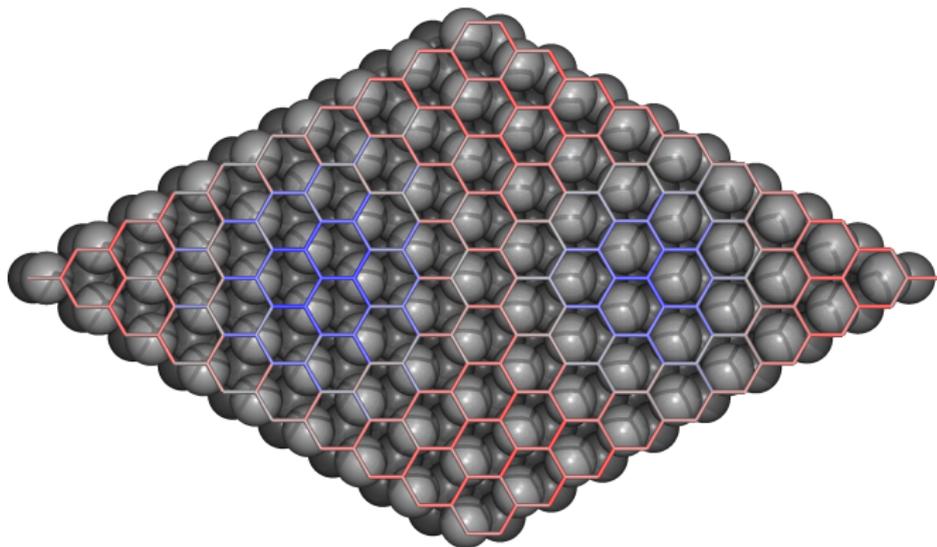
S. K. Hämäläinen *et al.*,  
*Phys. Rev. B* **88** 201406(R)  
(2013)





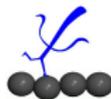
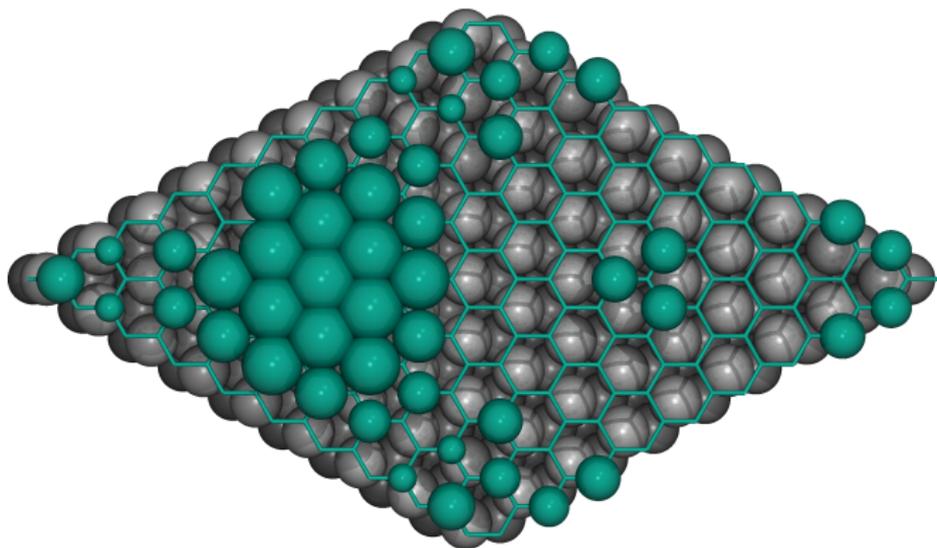
# Ir(111)/Graphene

0.1% shorter -  $d_{CC}^0$  - 0.1% longer

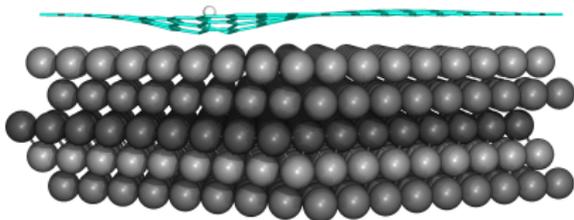




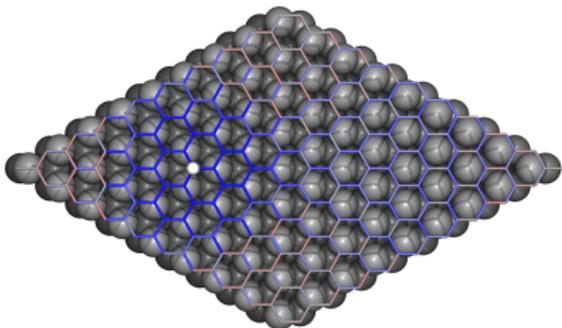
# Ir(111)/Graphene+H



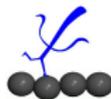
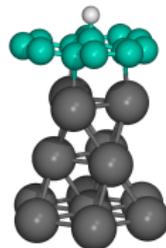
## Ir(111)/Graphene+H



0.5% shorter -  $d_{CC}^0$  - 0.5% longer



**Top-Fcc** region  
 $E_b = 1.91$  eV

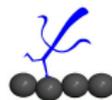
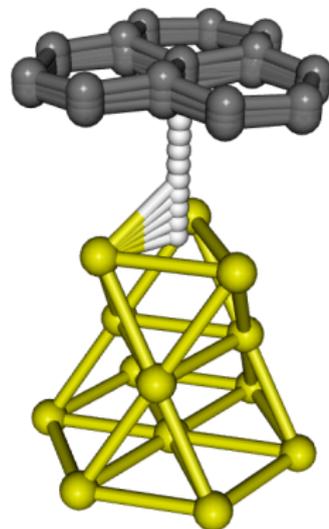
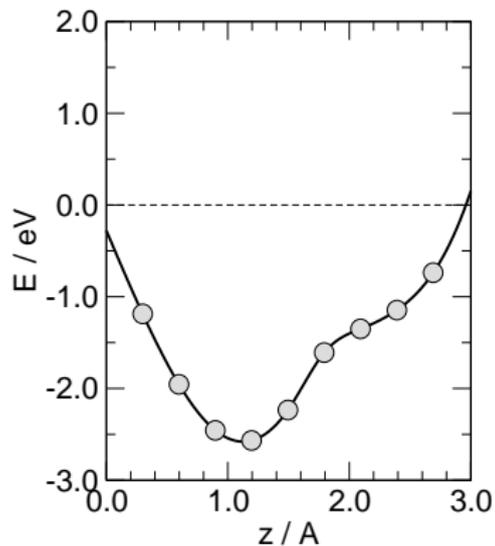


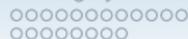






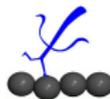
# Ir(111)/H/Graphene





# Outline

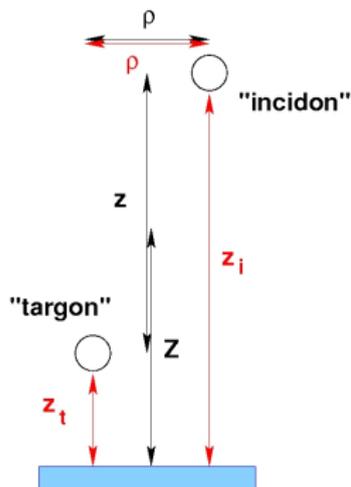
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  - System-bath modeling
  - High-Dimensional Quantum dynamics



# ER Reaction: technicalities

- **Rigid, flat** surface approximation<sup>1</sup>
- **Split-Operator** with FFT along cartesian coordinates and DBT along  $\rho$ <sup>1</sup>
- propagation in both **product** and **reagent** coordinate sets<sup>2</sup>
- **DFT PES** fitted to modified LEPS<sup>3</sup>

⇒ state-to-state, energy-resolved cross sections for **all** possible processes



[1] M. Persson and B. Jackson, J. Chem. Phys. 102, 1078 (1995); D. Lemoine and B. Jackson, Comput. Phys. Commun. 137, 415 (2001)

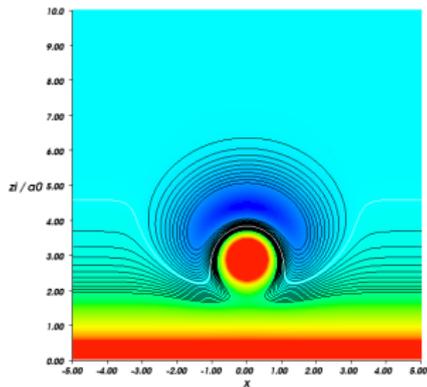
[2] R. Martinazzo and G.F. Tantardini, J. Phys. Chem. A, 109 (2005) 9379; J. Chem. Phys. 124, 124703 (2006); J. Chem. Phys. 124, 124704 (2006)

[3] X. Sha, B. Jackson and D. Lemoine, J. Chem. Phys. 116, 7158 (2002)

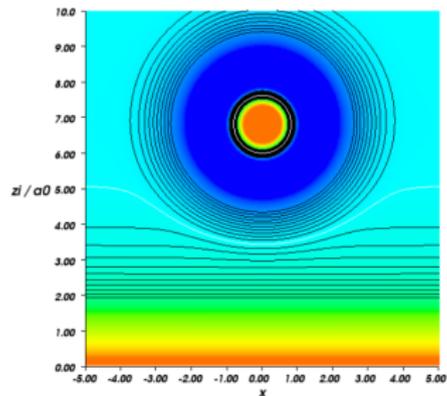




# ER Reaction: Potential Energy Surfaces

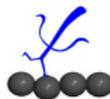


Chemisorbed target H ( $z_{eq}$ )



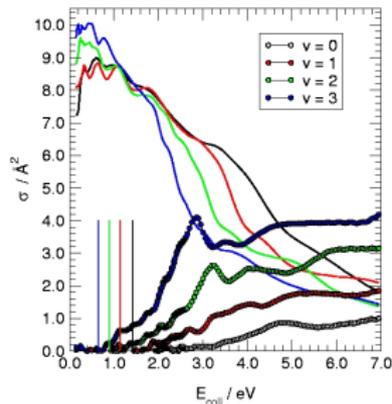
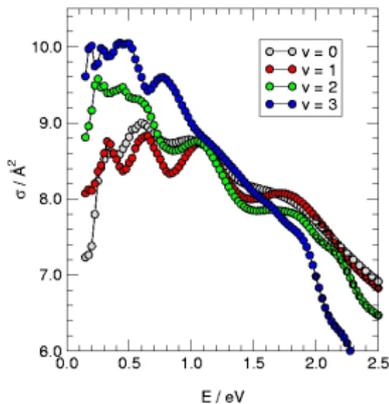
Physisorbed target H ( $z_{eq}$ )

X. Sha, B. Jackson and D. Lemoine, J. Chem. Phys. 116, 7158 (2002)

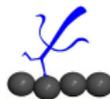


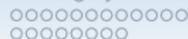


# H-chemisorbed target

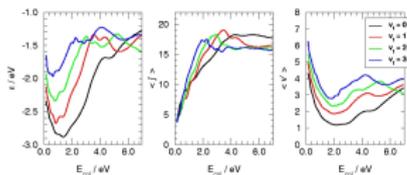


**Oscillations** in both ER and CID xsections

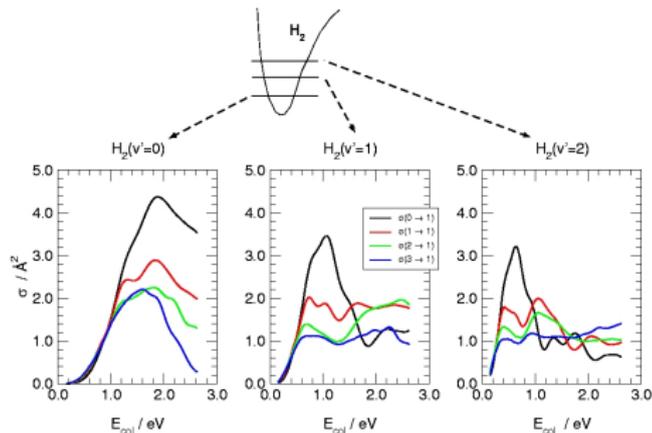




# H-chemisorbed target

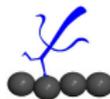


- Product molecules are internally **hot**
- Internal excitation is a steep **decreasing** function of  $E_{coll}$



Martinazzo and G.F. Tantardini, *J. Phys. Chem. A* **109**, 9379; *J. Chem. Phys.* **124** 124272 (2006)

R.



# ER Reaction: technicalities (low $E_{col}$ )

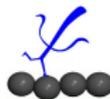
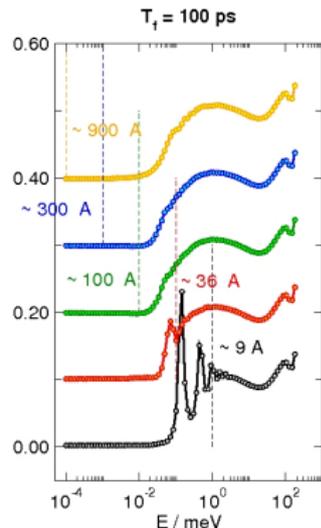
- **Two-wavepacket** approach<sup>1</sup>
- **Transmission-free**<sup>2</sup> absorbing potentials and **Fourier mapping**<sup>3</sup> in reagent coordinates

In 3D  $T_f=25-30$  ps and AP lengths  $\sim 50\text{\AA}$  in order to get converged xsections down to  $\sim 10^{-5}$  eV, i.e.  $\sim 0.1$  K

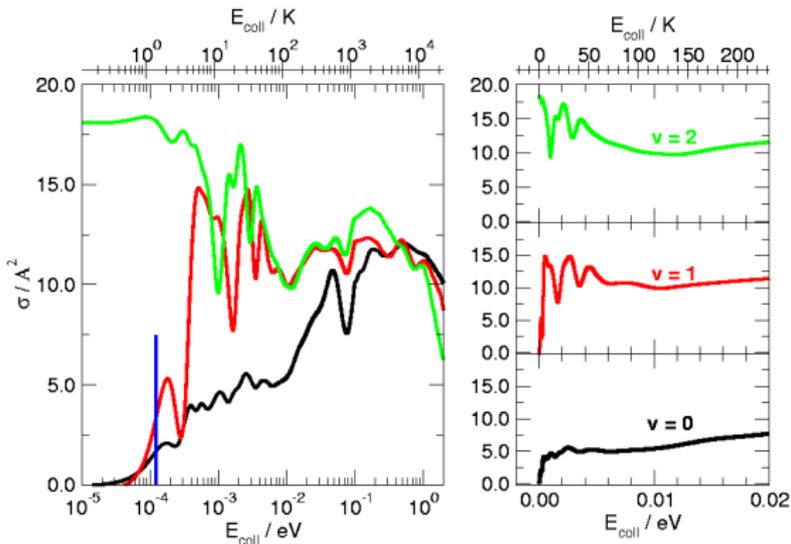
[1] R. Martinazzo and G.F. Tantardini, J. Chem. Phys. 122, 094109 (2005)

[2] D. Manolopoulos, J. Chem. Phys. 117, 9552 (2002)

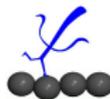
[3] A.G. Borisov, J. Chem. Phys. 114, 7770 (2001)



# H-chemisorbed target: xsections

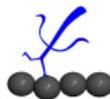
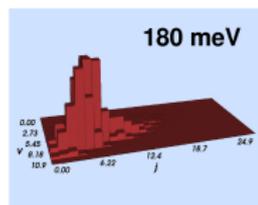
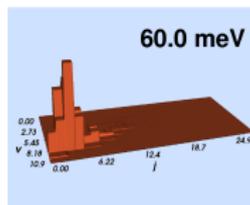
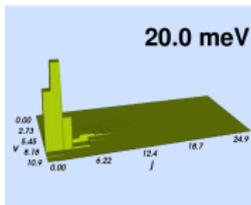
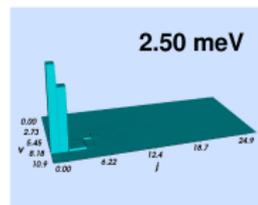
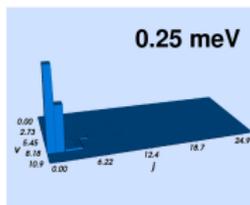
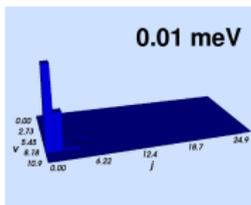
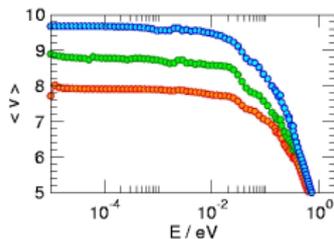
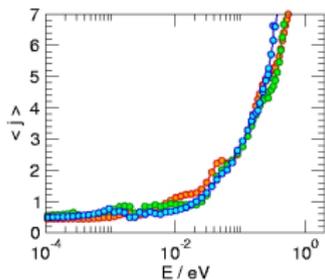


S. Casolo, M. Bonfanti, R. Martinazzo and G.F. Tantardini, *J. Phys. Chem. A*, **113** 14545 (2009)

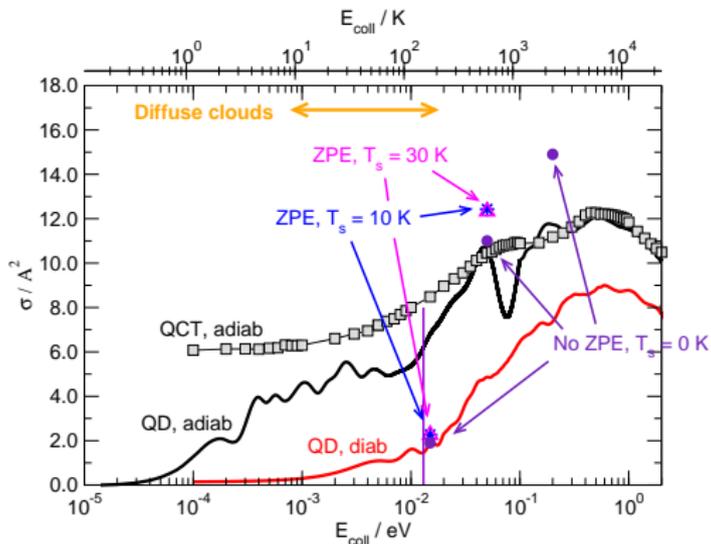




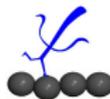
# H-chemisorbed target: product $v_j$



## H-chemisorbed target

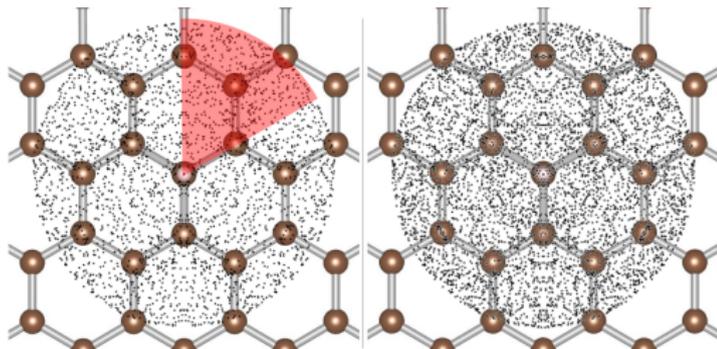
QCT comparison,  $\nu = 0$ 

M. Sizun, D. Bachellerie, F. Anguillon, V. Sidis *Chem. Phys. Lett.* 32 498 2010  
 D. Bachellerie, M. Sizun, F. Anguillon, D. Teillet-Billy, N. Rougeau, *Phys. Chem. Chem. Phys.* 2715 11 2009

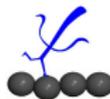


# *Ab initio* molecular dynamics

- lattice corrugation
- lattice dynamics
- dimer formation

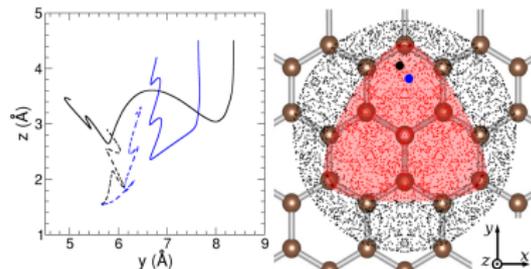
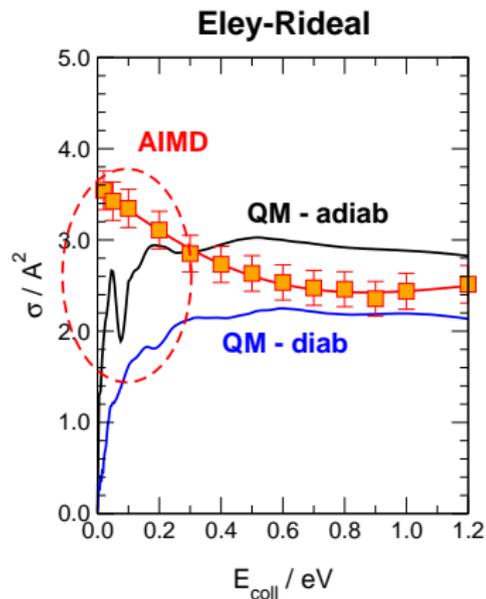


..**expensive**, but solves the problem of **computing** and **fitting** a model potential

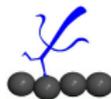




# Ab initio molecular dynamics

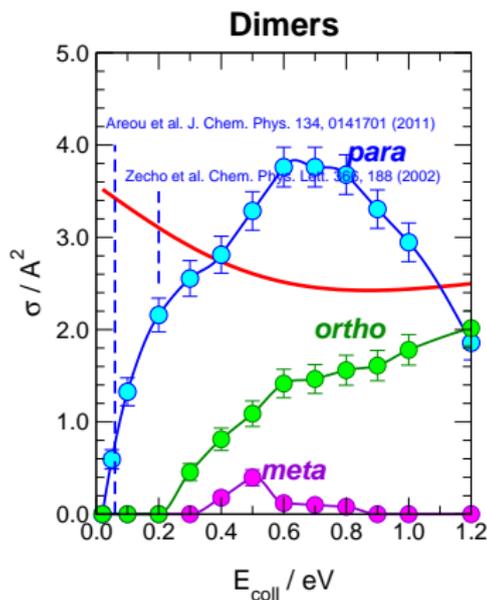


S. Casolo, G.F. Tantardini and R. Martinazzo, PNAS **110** 6674 (2013)

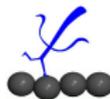




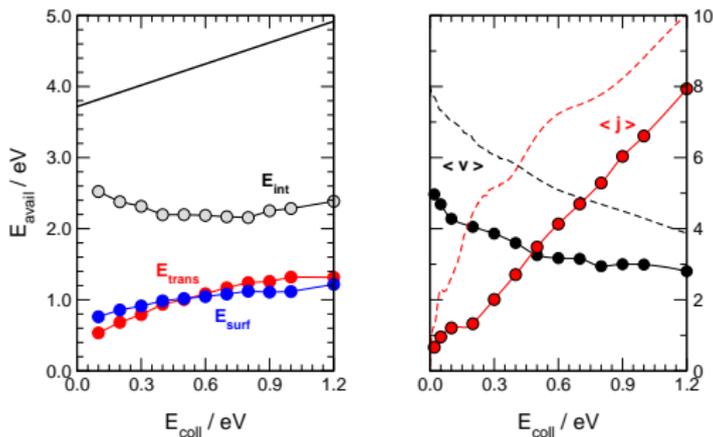
# Ab initio molecular dynamics



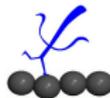
- Competition between **reaction** and **para-dimer** formation
- Dynamic threshold to **ortho-dimer** formation



# Ab initio molecular dynamics

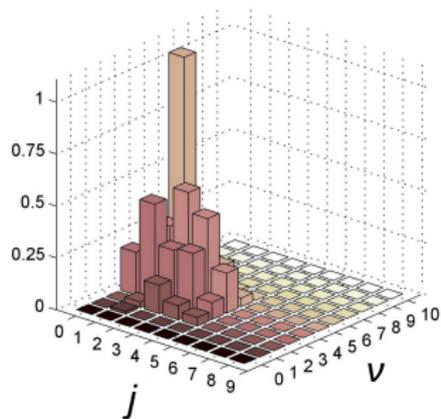
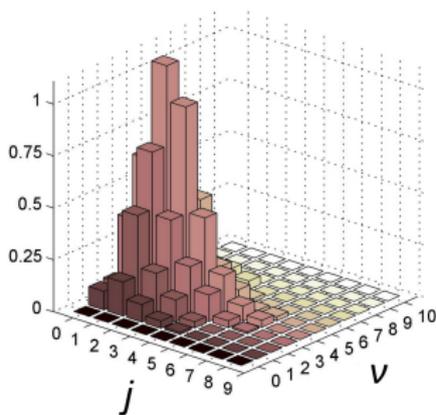


..most of energy is **internal**, but energy transfer to the surface is **considerable**





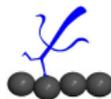
# *Ab initio* molecular dynamics



$$T_g = 300K, T_s = 15K$$

Latimer et al. Chem. Phys. Lett. 455, 174 (2008)

AIMD for H/D @ 0.025 eV

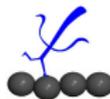
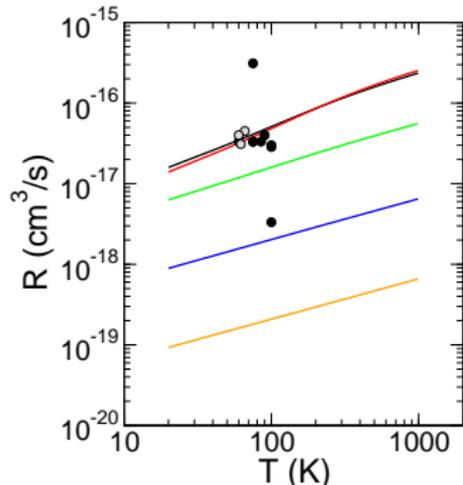


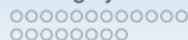


# Kinetic modeling

$$\frac{dn_V^{H_2}}{dt} \simeq \frac{k_S k_{ER}}{k_S \Sigma_S + k_{ER}} \Sigma_g n_V^g n_V^H = R n n_V^H$$

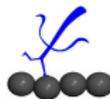
- **Facile** sticking:  $\sigma_s \simeq 20 \text{ \AA}^2$
- $n_* \simeq 10^{-2}$  per C atom
- **Porous** grains:  
 $\Sigma_g \simeq 30 - 40 \times \Sigma_g^{geom}$





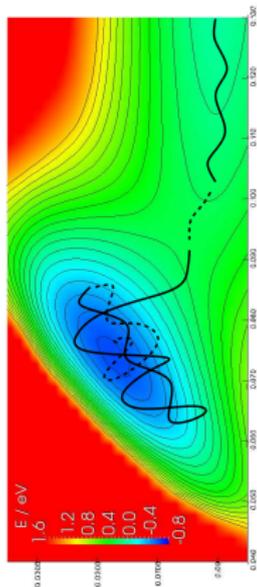
# Outline

- 1 Adsorption energetics
  - Dimer and cluster formation
  - H on Graphene/Ir(111)
- 2 Eley-Rideal reaction dynamics
  - Reduced-dimensional quantum dynamics
  - *Ab initio* molecular dynamics
- 3 Sticking dynamics
  - System-bath modeling
  - High-Dimensional Quantum dynamics



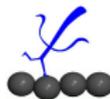
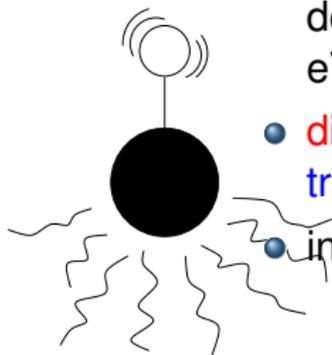


# H sticking dynamics on graphene

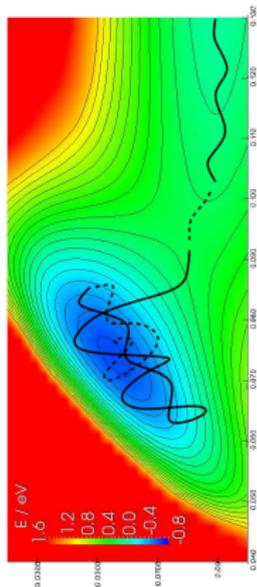


Challenging problem..

- **quantum dynamics** is needed to describe **tunneling** for  $E_{coll} \leq 0.2$  eV
- **dissipation** is required to turn **trapping** into **sticking**
- involves **scattering** dynamics



# H sticking dynamics on graphene

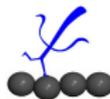
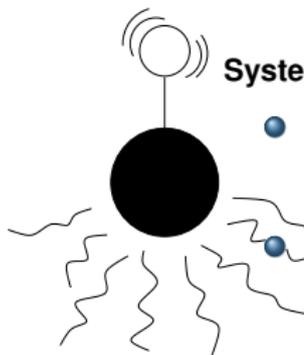


## Fully atomistic models

- **Accurate** representation of adsorbate-lattice interactions and of lattice dynamics
- **Complicated** form of the potential, inadequate for **HD Quantum Dynamics**

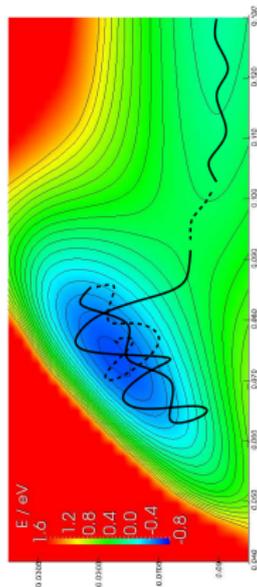
## System-bath models

- **Limited** applicability, though fine iff a **Generalized Langevin** description of the dynamics holds
- **Simplest** possible form for an environment, suited to **HD Quantum Dynamics**





# System-bath modeling



Lattice

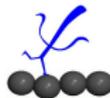
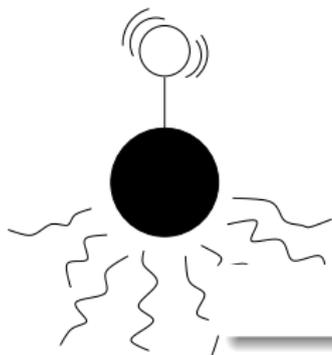
$$H_{\text{latt}} = \frac{p_H^2}{2m_H} + \frac{p_C^2}{2m_C} + V(\mathbf{x}_H, z_C, \mathbf{q}) + \sum_i \frac{p_i^2}{2m_i}$$

vs.

System-bath

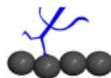
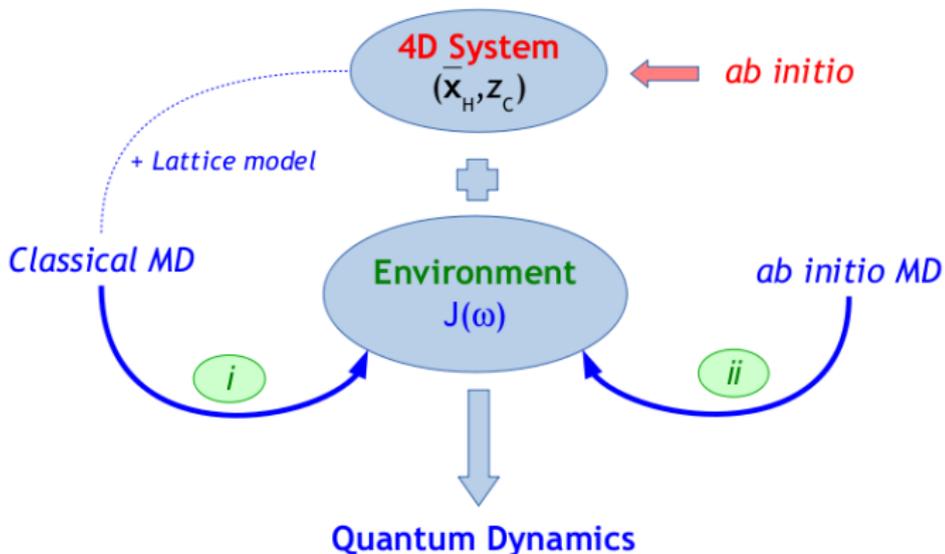
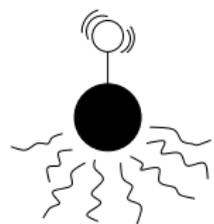
$$H_{\text{sb}} = \frac{p_H^2}{2m_H} + \frac{p_C^2}{2m_C} + V(\mathbf{x}_H, z_C, \mathbf{q}^{\text{eq}}) + \sum_k \left[ \frac{p_k^2}{2} + \frac{\omega_k^2}{2} \left( q_k - \frac{c_k}{\omega_k^2} f(z_C) \right)^2 \right]$$

$$\omega_k, c_k \Leftrightarrow J(\omega) \Leftrightarrow \kappa(t)$$





# System-bath modeling: our strategy

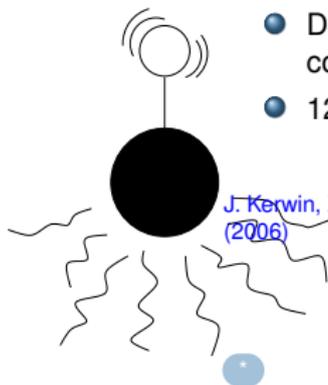
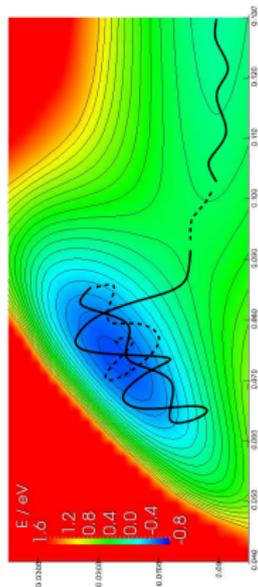


# System-bath modeling: our strategy

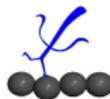
## System

$$H_{\text{sys}} = \frac{p_H^2}{2m_H} + \frac{p_C^2}{2m_C} + V(x_H, z_C, \mathbf{q}^{\text{eq}})$$

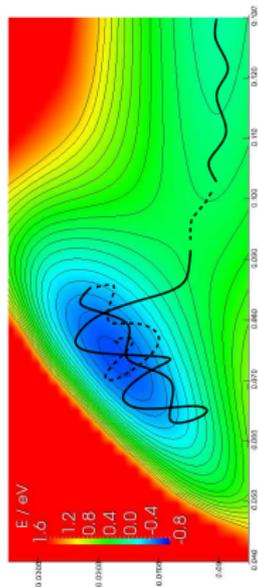
- Plane-wave DFT PW91
- Dense grid on  $x_H, y_H, z_H, z_C$ , for fixed coordinates of the remaining lattice atoms
- 12-parameter fit to LEPS functional form



J. Kerwin, X. Sha and B. Jackson, *J. Phys. Chem. B*, 18811 **110** (2006)

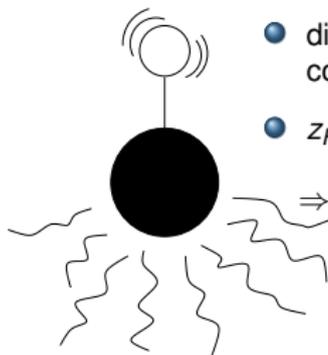


# System-bath modeling: our strategy



## Environment

**H** → **C** → lattice



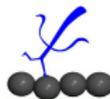
- dissipation mainly occurs at **near-equilibrium** configurations

- $z_H$  couples **only** to  $z_C$

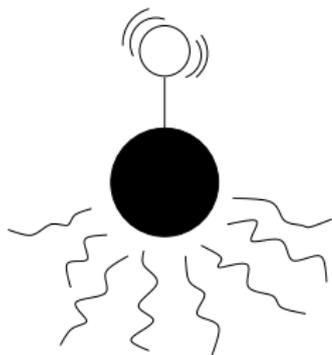
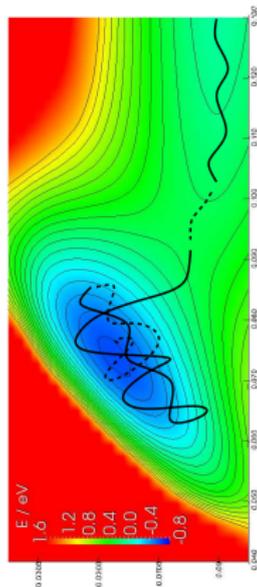
⇒ **Canonical, classical dynamics:**  $\delta z_H^i(t)$

$$C_{zz}(t) = \langle \delta z(t) \delta z(0) \rangle$$

$$( C_w(t) = \langle \dot{z}(t) \dot{z}(0) \rangle )$$



# System-bath modeling: our strategy



## Environment

$$\delta \tilde{z}_H^i(\omega) = \int_{-\infty}^{+\infty} e^{i\omega t} \delta z_H^i(t) dt$$

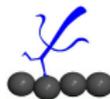
$$\tilde{C}(\omega) = \frac{1}{N} \sum_{i=1}^N |\delta \tilde{z}_H^i(\omega)|^2$$

$$\sigma(\omega) = \tilde{C}(\omega) \omega / 2$$

$$J_H(\omega) = k_B T \frac{\sigma(\omega)}{|S^+(\omega)|^2}$$

$$D_0^2 = \frac{2}{\pi} \int_0^{+\infty} J_H(\omega) \omega d\omega$$

$$J_C(\omega) = m_C \frac{D_0^2 J_H(\omega)}{|W^+(\omega)|^2}$$



# Atomistic model for MD (i)

## System

Same as above

## Lattice

$$H_{latt} = \sum_i^N \frac{p_i^2}{2m_i} + V_{latt}(z_1, z_2, \dots, z_N)$$

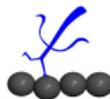
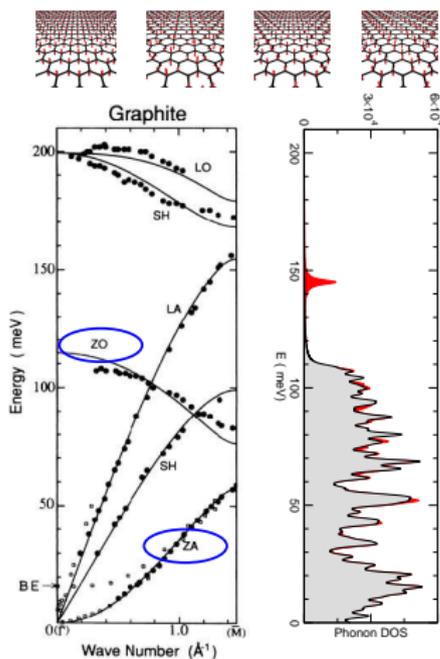
- Lattice model of graphene containing stretching, bending, twisting modes
- ZA, ZO branches only

T. Aizawa *et al.*, *Phys. Rev. B*, 11469 42 (1990)

## Coupling

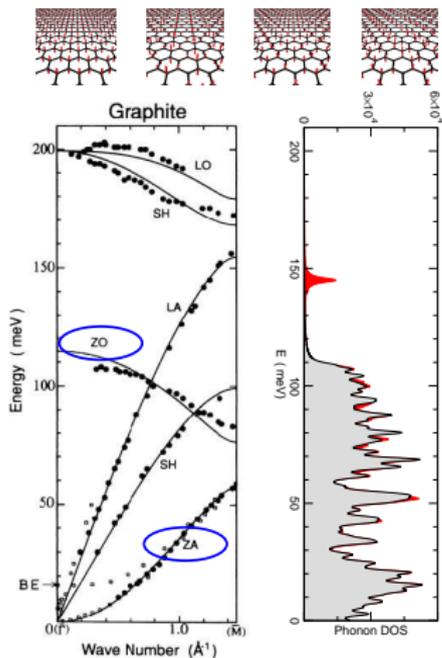
$$V(\mathbf{x}_H, z_C, \mathbf{q}^{eq}) \Rightarrow V(x_H, y_H, z_H - Q, z_C - Q, \mathbf{q}^{eq}) - \frac{k_C}{2}(z_C - Q)^2$$

$$Q = (z_1 + z_2 + z_3)/3$$



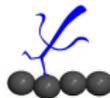


# Atomistic model for MD (i)



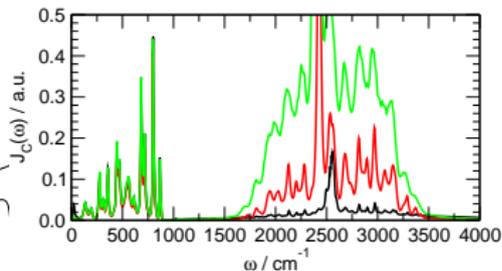
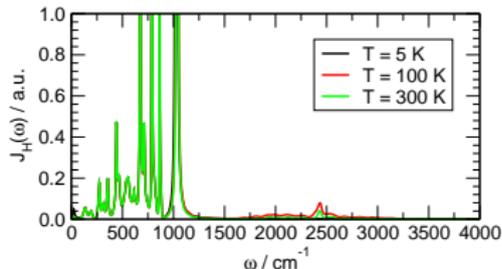
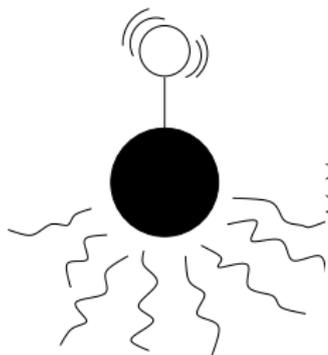
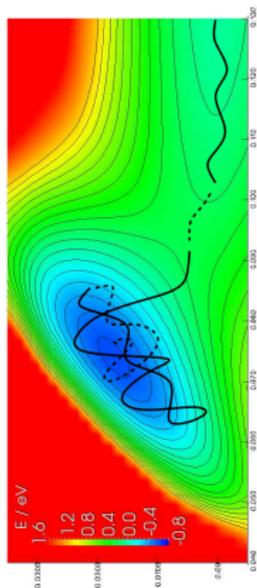
## Canonical MD

- Finite slab with 120 carbon atoms
- Equilibration at different T
- 1000 trajectories with Langevin atoms at the slab edges
- $t_{fin} = 10$  ps



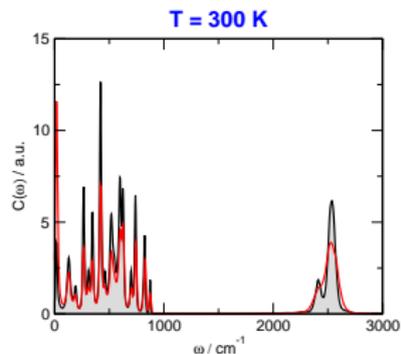
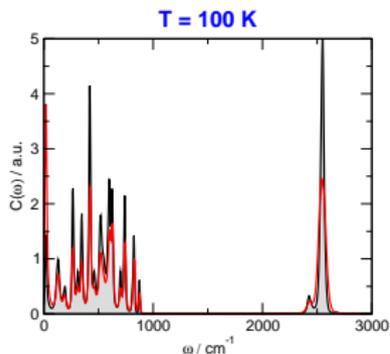
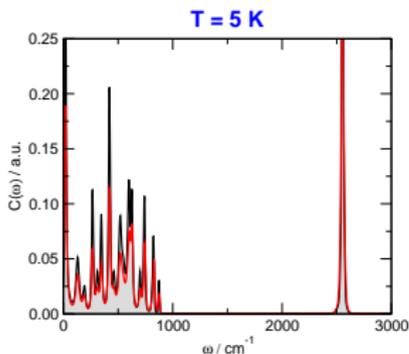


# Environment from MD (i)





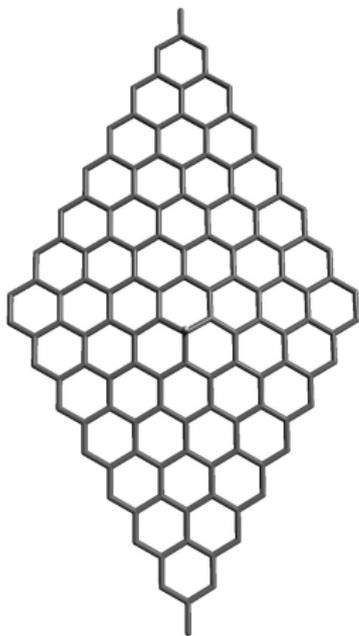
# Environment from MD (i)



**Lattice - IO Bath ( $J_{5K}(\omega)$ )**



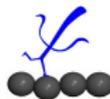
## Environment from AIMD (ii)



### Canonical *ab initio* MD

- $8 \times 8$  supercell (129 atoms)
- Atomic Orbital DFT PBE, Double- $\zeta$  *plus* Polarization
- Equilibration at several T (velocity rescaling)
- 128 trajectories in *NEV*
- $t_{fin} = 2.5$  ps

...in progress

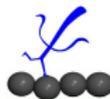


# Quantum dynamics with MCTDH ( $T = 0$ K)

$$\Psi(x_1, x_2, \dots, x_N) = \sum_{i_1, i_2, \dots, i_N} c_{i_1, i_2, \dots, i_N} \phi_{i_1}^{(1)}(x_1) \phi_{i_2}^{(2)}(x_2) \dots \phi_{i_N}^{(N)}(x_N)$$

- $c_{i_1, i_2, \dots, i_N} = c_{i_1, i_2, \dots, i_N}(t)$  are time-evolving **amplitudes** for the configurations
- $\phi_i^{(k)}(x) = \phi_i^{(k)}(x, t)$  are time-evolving **single-particle functions**
- $\langle \phi_i^{(k)} | \phi_j^{(k)} \rangle = \delta_{ij}$  for any  $k = 1, \dots, N$

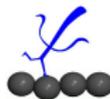
## Equations of motion from DF variational principle



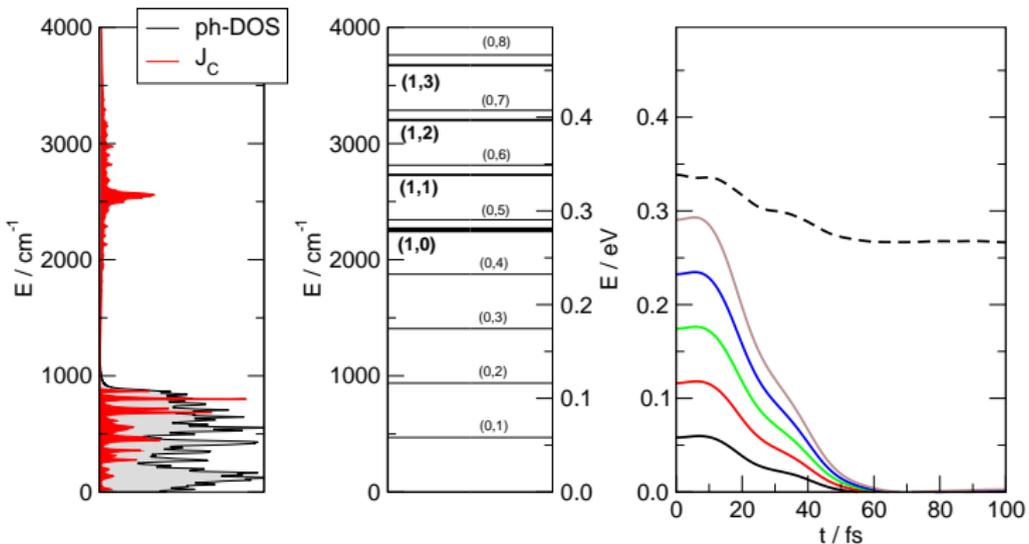
## Vibrational relaxation ( $T_S = 0$ K)

$$\Psi(\mathbf{x}_H, z_C, \mathbf{Q}_1, \dots, \mathbf{Q}_N) = \sum_i c_i \psi_{i_s}(\mathbf{x}_H, z_C) \phi_{i_1}^{(1)}(\mathbf{Q}_1) \dots \phi_{i_N}^{(N)}(\mathbf{Q}_N)$$

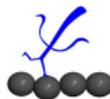
- Hermite-DVR for system's spfs  $\psi_{i_s}(\mathbf{x}_H, z_C)$
- **Mode combination** & Hermite-DVR for bath spfs  $\phi_i^{(k)}(\mathbf{Q}_k)$
- **Product initial state**,  $\psi_{\nu}(\mathbf{x}_H, z_C) \dots \phi_{\nu=0}(q_k) \dots$
- Lanczos diagonalization to obtain the **4D eigenvalues and eigenfunctions**



# Vibrational relaxation

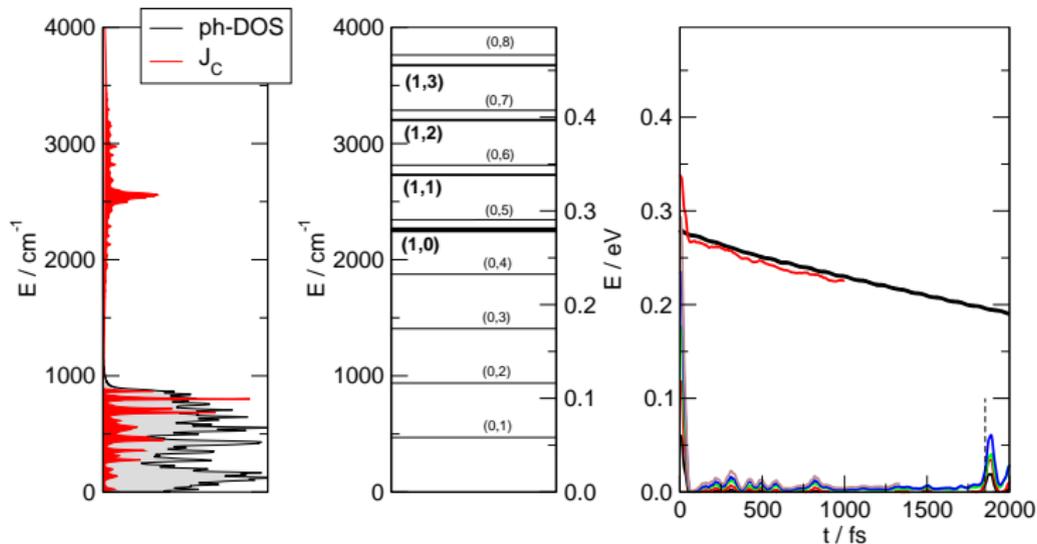


$(\nu_1, \nu_2)$  for CH and surface-CH stretching

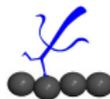




# Vibrational relaxation



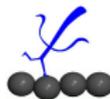
$(\nu_1, \nu_2)$  for CH and surface-CH stretching



## Sticking ( $T_s = 0$ K)

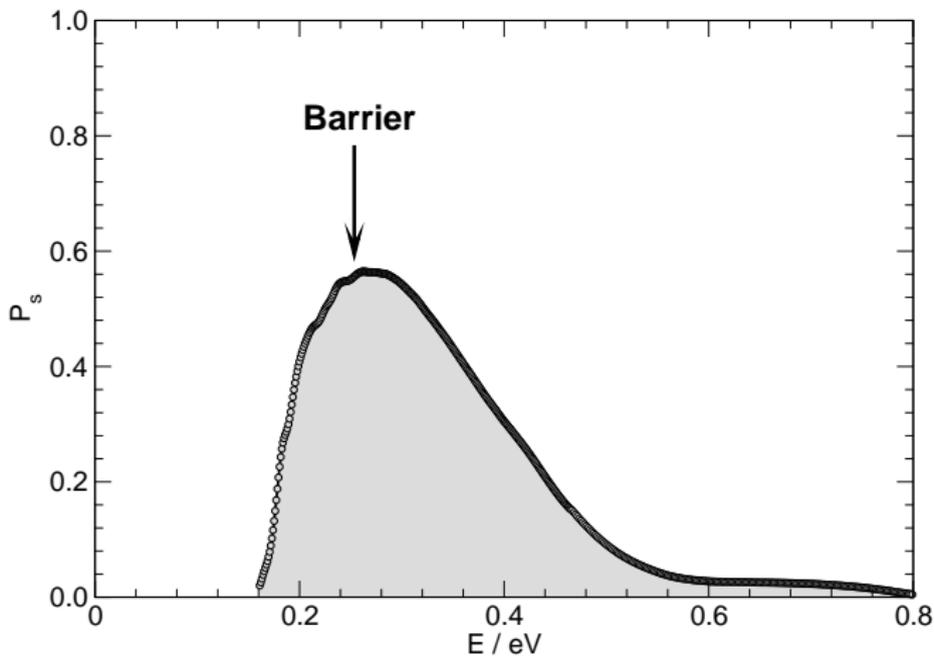
$$\Psi(\mathbf{x}_H, z_C, \mathbf{Q}_1, \dots, \mathbf{Q}_N) = \sum_I a_I \psi_{i_s}(\mathbf{x}_H, z_C) \phi_{i_1}^{(1)}(\mathbf{Q}_1) \dots \phi_{i_N}^{(N)}(\mathbf{Q}_N)$$

- Fourier grid representation of system's spfs  $\psi_{i_1}(\mathbf{x}_H, z_C)$
- **Mode combination** & Hermite-DVR for bath spfs  $\phi_{i_k}^{(k)}(\mathbf{Q}_k)$
- **Product initial state**,  $\psi_{scatt}(\mathbf{x}_H, z_C) \dots \phi_{\nu=0}(q_k) \dots$
- **Time-energy mapping**



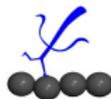
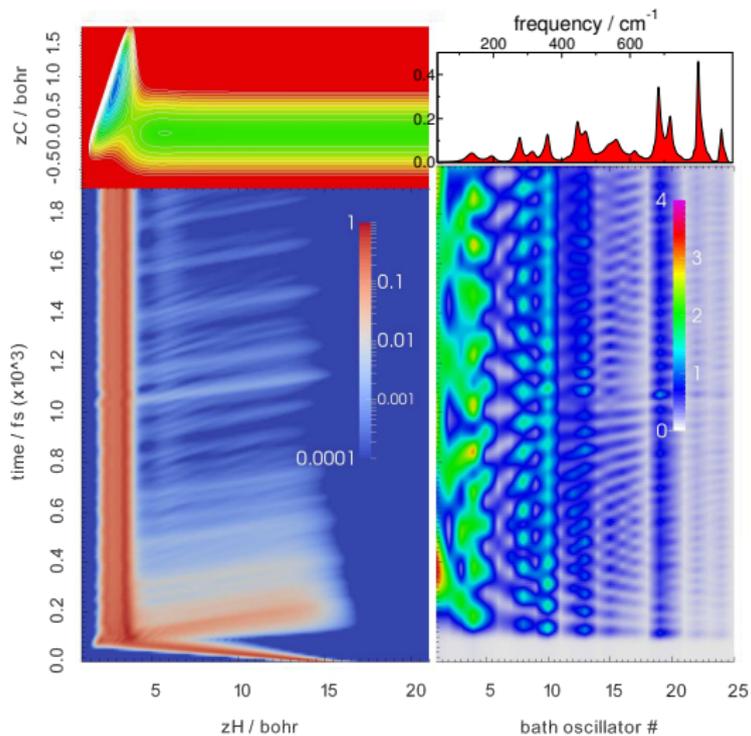


# Sticking (2D + bath)





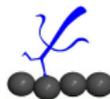
# Sticking (2D + bath)





# Summary

- **Midgap states** modulate reactivity: dimers (cluster) which **minimize** sublattice imbalance form easily
- **Substrates** (even if not strongly binding) may affect chemical activity
- **Eley-Rideal** reaction out of **chemisorbed** species is reasonably **efficient** and dominates over **dimer formation**
- **Sticking dynamics** can be investigated at a **full quantum level**



# Acknowledgements

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Geert-Jan Kroes

Bret Jackson

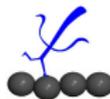
Keith Hughes

Irene Burghardt

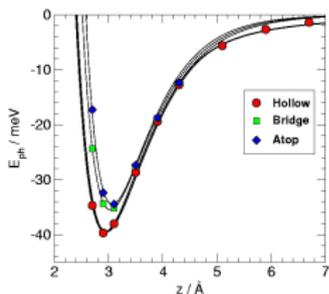


# Acknowledgements

**Thank you for your attention!**



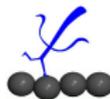
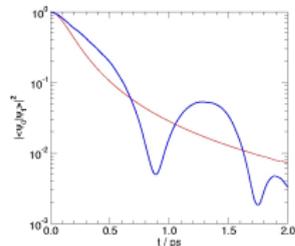
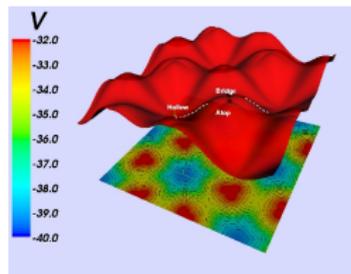
# Physisorption



- HF-MP2 / aug-cc-pVDZ + BFs / CP-BSSE
- $D_e = 39.5 \text{ meV}$  vs  $D_e(\text{exp}) = 39.2 \pm 0.5 \text{ meV}$
- $E_{\text{barr}} = 4.0 \text{ meV}$ ,  $D_{T=0\text{K}} = 1.7 \cdot 10^{-4} \text{ cm}^2\text{s}^{-1}$

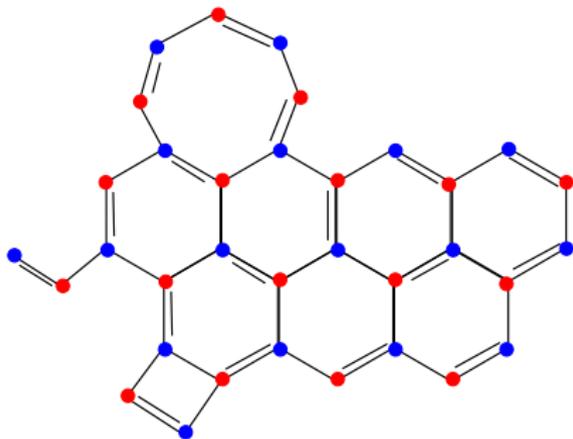
M. Bonfanti, R. Martinazzo, G.F. Tantardini and A. Ponti, *J. Phys. Chem. C*, **111**, 5825 (2007)

Exp: E. Ghio *et al.*, *J. Chem. Phys.*, **73**, 596 (1980)



# Midgap states

$$H^\pi \approx \sum_{\sigma, ij} (t_{ij} a_{i, \sigma}^\dagger b_{j, \sigma} + t_{ji} b_{j, \sigma}^\dagger a_{i, \sigma})$$



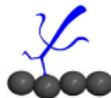
## Electron-hole symmetry

$$b_i \rightarrow -b_i \implies H_e^\pi \rightarrow -H_e^\pi$$

$$\epsilon_i, |\psi_i^{(+)}\rangle = \sum_k \alpha_k |a_k\rangle + \sum_j \beta_j |b_j\rangle$$

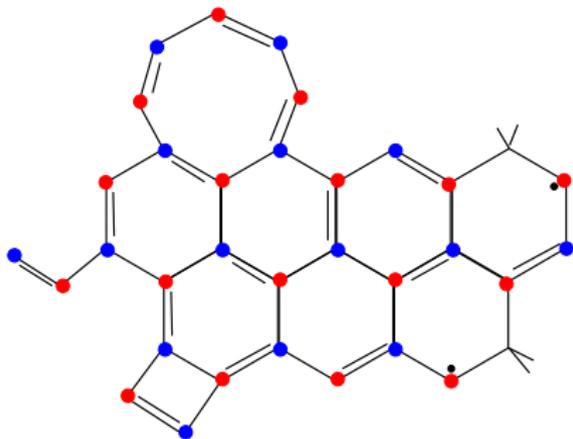
↓

$$-\epsilon_i, |\psi_i^{(-)}\rangle = \sum_k \alpha_k |a_k\rangle - \sum_j \beta_j |b_j\rangle$$



# Midgap states

$$H^\pi \approx \sum_{\sigma, ij} (t_{ij} a_{i,\sigma}^\dagger b_{j,\sigma} + t_{ji} b_{j,\sigma}^\dagger a_{i,\sigma})$$

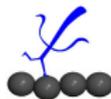


## Imbalance rule

Let  $n_A > n_B$ ,  $\mathbf{T}(n_B \times n_A)$

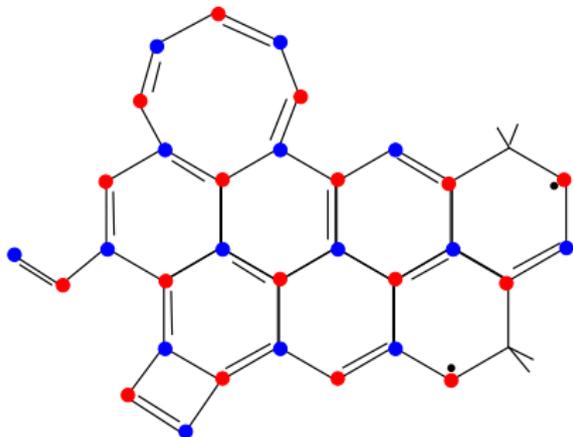
$$\begin{bmatrix} \mathbf{0} & \mathbf{T}^\dagger \\ \mathbf{T} & \mathbf{0} \end{bmatrix} \begin{bmatrix} \alpha \\ \beta \end{bmatrix} = \begin{bmatrix} \mathbf{0} \\ \mathbf{0} \end{bmatrix}$$

$\Rightarrow \mathbf{T}\alpha = \mathbf{0}$  has  $n_A - n_B$  solutions



# Midgap states

$$H^\pi \approx \sum_{\sigma, ij} (t_{ij} a_{i,\sigma}^\dagger b_{j,\sigma} + t_{ji} b_{j,\sigma}^\dagger a_{i,\sigma}) + U \sum_i n_{i,\tau} n_{i,-\tau}$$

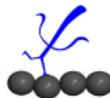


## Spin alignment

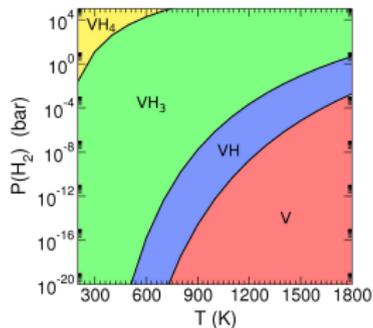
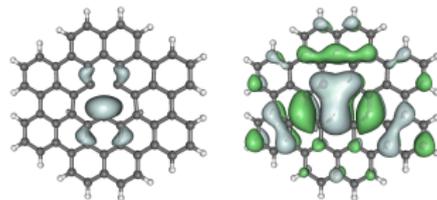
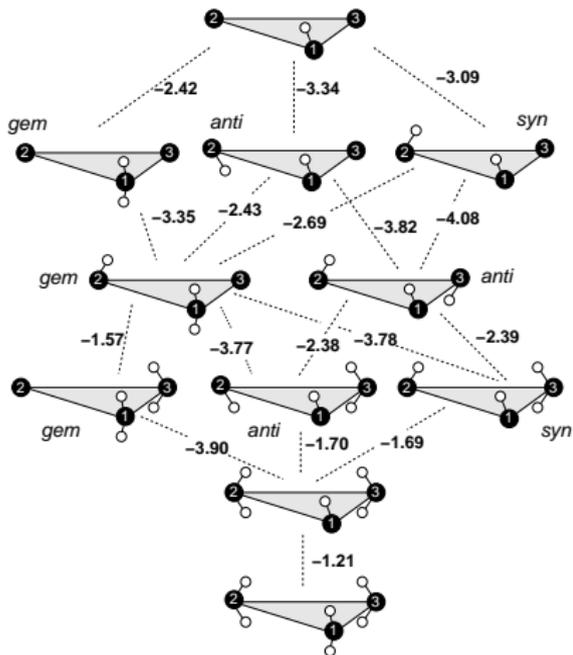
If  $U > 0$ , the ground-state at *half-filling* has

$$S = |n_A - n_B|/2 = n_I/2$$

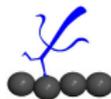
E.H. Lieb, *Phys. Rev. Lett.* **62**, 1201 (1989)



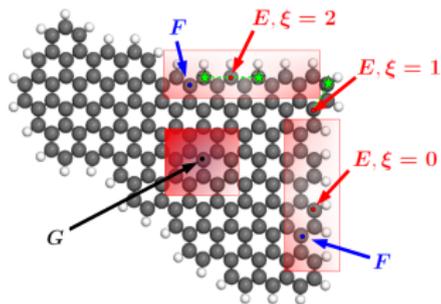
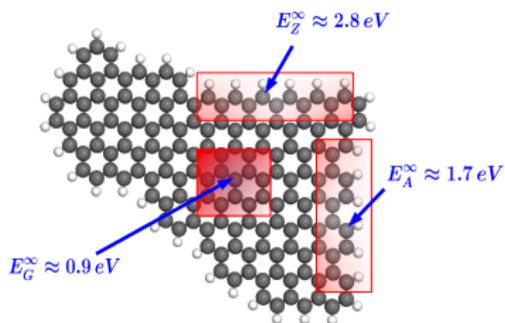
# Vacancy



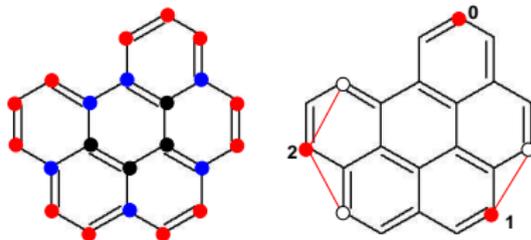
M. Casartelli *et al.*, *Phys. Rev. B*, **88** 195424 (2013)  
M. Casartelli *et al.*, *Carbon*, **77** 165 (2014)



# Edges



$$Z = 2 \Rightarrow \mathbf{E} \quad Z = 3 \Rightarrow \mathbf{F, G}$$

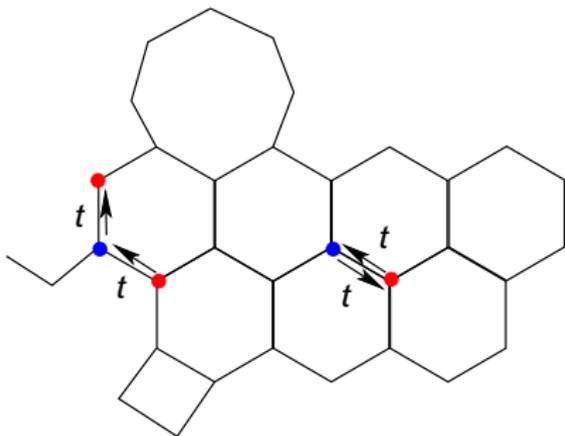


M. Bonfanti *et al.*, *J. Chem. Phys.*, **135** 164701 (2011)



# Hints from the tight-binding Hamiltonian $H^\pi$

$$H^\pi \approx \sum_{\sigma, ij} (t_{ij} a_{i,\sigma}^\dagger b_{j,\sigma} + t_{ji} b_{j,\sigma}^\dagger a_{i,\sigma})$$



## 'Lattice renormalization'

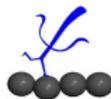
$$\tilde{H}_{AA} = H_{AB} H_{BA}$$

$$\tilde{\epsilon}_i, |\psi_{A,i}\rangle$$

$\Downarrow$

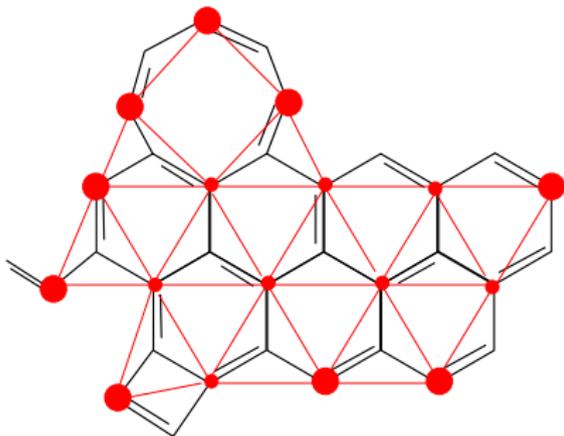
$$\epsilon_i^\pm = \pm \sqrt{\tilde{\epsilon}_i}, |\psi_i^{(\pm)}\rangle = |\psi_{A,i}\rangle \pm |\psi_{B,i}\rangle$$

$$|\psi_{B,i}\rangle = \tilde{\epsilon}_i^{-1/2} H_{BA} |\psi_{A,i}\rangle$$



# Hints from the tight-binding Hamiltonian $H^\pi$

$$\tilde{H}^\pi \approx \sum_i Z_i t^2 a_i^\dagger a_i + \sum_{ij} t^2 a_i^\dagger a_j$$



## 'Lattice renormalization'

$$\tilde{H}_{AA} = H_{AB} H_{BA}$$

$$\tilde{\epsilon}_i, |\psi_{A,i}\rangle$$

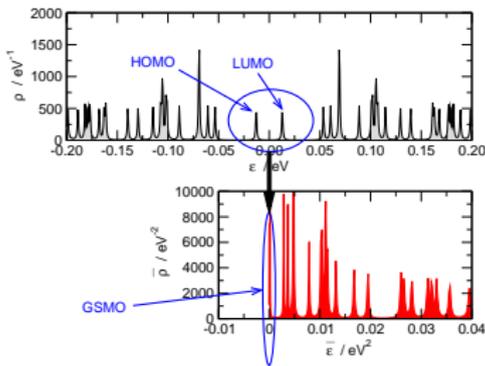
↓

$$\begin{aligned} \epsilon_i^\pm &= \pm \sqrt{\tilde{\epsilon}_i}, |\psi_i^{(\pm)}\rangle = |\psi_{A,i}\rangle \pm |\psi_{B,i}\rangle \\ |\psi_{B,i}\rangle &= \tilde{\epsilon}_i^{-1/2} H_{BA} |\psi_{A,i}\rangle \end{aligned}$$



# Hints from the tight-binding Hamiltonian $H^\pi$

$$\tilde{H}^\pi \approx \sum_i Z_i t^2 \mathbf{a}_i^\dagger \mathbf{a}_i + \sum_{ij} t^2 \mathbf{a}_i^\dagger \mathbf{a}_j$$



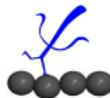
## 'Lattice renormalization'

$$\tilde{H}_{AA} = H_{AB} H_{BA}$$

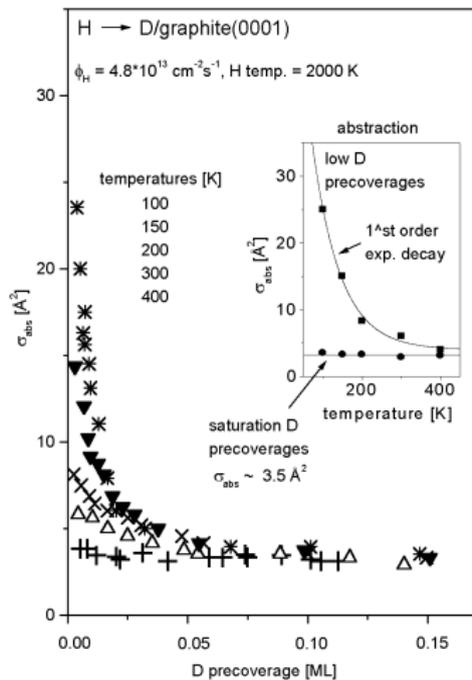
$$\tilde{\epsilon}_i, |\psi_{A,i}\rangle$$

↓

$$\begin{aligned} \epsilon_i^\pm &= \pm \sqrt{\tilde{\epsilon}_i}, |\psi_i^{(\pm)}\rangle = |\psi_{A,i}\rangle \pm |\psi_{B,i}\rangle \\ |\psi_{B,i}\rangle &= \tilde{\epsilon}_i^{-1/2} H_{BA} |\psi_{A,i}\rangle \end{aligned}$$



# Zecho's kinetic experiments



# System-bath

..choosing the **system potential**

$$V_s(\mathbf{s}_1, \mathbf{s}_2, \dots, \mathbf{s}_N) = \text{Min}_{\xi_1, \xi_2, \dots, \xi_F} V(\mathbf{s}_1, \mathbf{s}_2, \dots, \mathbf{s}_N, \xi_1, \xi_2, \dots, \xi_F)$$

In our case,

$$\xi_i \equiv z_i, \mathbf{s}_1 = x_H, \mathbf{s}_2 = y_H, \mathbf{s}_3 = z_H - Q, \mathbf{s}_4 = z_C - Q$$

where  $Q = \sum_i z_i$  and

$$V = V_{4D}(\mathbf{s}_1, \mathbf{s}_2, \mathbf{s}_3, \mathbf{s}_4) + V_{latt}(\mathbf{s}_4 + Q, z_1, z_2, \dots, z_F) - V_{puck}(\mathbf{s}_4)$$

↓

$$V_s(\mathbf{s}) \equiv V_{4D}(\mathbf{s}) - V_{puck}(\mathbf{s}_4) + \text{Min}_{z_1, z_2, \dots, z_F} V_{latt}(\mathbf{s}_4 + Q, z_1, z_2, \dots, z_F)$$

$$\text{if } V_{puck}(\mathbf{s}) := \text{Min}_{z_1, z_2, \dots, z_F} V_{latt}(\mathbf{s} + Q, z_1, z_2, \dots, z_F) \approx \frac{k_C}{2} \mathbf{s}^2$$

$$V_s(\mathbf{s}) \equiv V_{4D}(\mathbf{s})$$

